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| FORM 1 INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION (Use several sheets if necessary) | Docket Number: 9192.5USWO | Application Number: 09 117,071 |
| | Applicant: Kingsman et al. | |
| | Filing Date: 09/25/1998 | Group Art Unit: 1636 |



U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NO. | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|------------------|-----------------|----------|-----------------|-------|----------|----------------------------|
| SE | US 6,503,501 B1 | 01/07/03 | Anderson et al. | 424 | 932 | 5/13/99 |

FOREIGN PATENT DOCUMENTS

| | DOCUMENT NO. | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION | |
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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| SE | | Brigham et al., 2000, <i>Hum. Gene Ther.</i> , 11:1023-32 Transfection of nasal mucosa with a normal alpha 1-antitrypsin gene in alpha 1-antitrypsin-deficient subjects: comparison with protein therapy |
| SE | | Caplen et al., 1995, <i>Nat. Med.</i> , 1:39-46 Liposome-mediated CFTR gene transfer to the nasal epithelium of patients with cystic fibrosis |
| SE | | Gleich et al., 1998, <i>Arch Otolaryngol Head Neck Surg.</i> , 124:1097-104 Alloantigen gene therapy for squamous cell carcinoma of the head and neck: results of a phase-I trial |
| SE | | Habib et al., 1996, <i>Cancer Detect. Prev.</i> , 20:103-7 Preliminary report: the short-term effects of direct p53 DNA injection in primary hepatocellular carcinomas |
| SE | | Hui et al., 1997, <i>Gene Therapy</i> , 4:783-790 Phase I study of immunotherapy of cutaneous metastases of human carcinoma using allogeneic and xenogeneic MHC DNA-liposome complexes |
| SE | | Laitinen et al., 2000, <i>Hum. Gene Ther.</i> , 11:263-70 Catheter-mediated vascular endothelial growth factor gene transfer to human coronary arteries after angioplasty |
| SE | | Merrouche et al., 1995, <i>J. Clin. Oncol.</i> , 13:410-8 Clinical application of retroviral gene transfer in oncology: results of a French study with tumor-infiltrating lymphocytes transduced with the gene of resistance to neomycin |
| SE | | Nabel et al., 1993, <i>Proc. Natl. Acad. Sci. USA</i> , 90:11307-11311 Direct gene transfer with DNA-liposome complexes in melanoma: Expression, biologic activity, and lack of toxicity in humans |
| SE | | Onodera et al., <i>Blood</i> , 1998, 91:30-36 Successful Peripheral T-Lymphocyte-Directed Gene Transfer for a Patient With Severe Combined Immune Deficiency Caused by Adenosine Deaminase Deficiency |
| | | Pages from www.wiley.co.uk/genotherapy/clinical |

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| 58 | Porteous et al., 1997, <i>Gene Ther.</i> , 4:210-218 Evidence for safety and efficacy of DOTAP cationic liposome mediated CFTR gene transfer to the nasal epithelium of patients with cystic fibrosis |
| 58 | Raper et al., 1996, <i>Annals of Surgery</i> , 223:116-126 Safety and Feasibility of Liver-Directed <i>Ex Vivo</i> Gene Therapy for homozygous Familial Hypercholesterolemia |
| 58 | Sylvén et al., 2001, <i>Coronary Artery Disease</i> , 12:239-243 Myocardial Doppler tissue velocity improves following myocardial gene therapy with VEGF-A ₁₆₅ plasmid in patients with inoperable angina pectoris |



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